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ACCOUNT # 24-1700

DUNLAP, CODDING &amp; ROGERS, P.C.

Express Mail No. EV 447410145 US

Deposited on: March 1, 2004

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/692,171
	Filing Date	10/23/2003
	First Named Inventor	Edgar A. O'Rear III, et al.
	Group Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	5820.643

ENCLOSURES <i>(check all that apply)</i>				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>  See remarks below:		
<table border="1"><tr><td>Remarks</td></tr><tr><td>1. Transmittal Form (1 page); 2. Fee Transmittal (1 page); 3. Information Disclosure Statement (6 pages); 4. IDS (1449A) (3 pages); 5. Copies of Cited References; and 6. Return Receipt Postcard.</td></tr></table>			Remarks	1. Transmittal Form (1 page); 2. Fee Transmittal (1 page); 3. Information Disclosure Statement (6 pages); 4. IDS (1449A) (3 pages); 5. Copies of Cited References; and 6. Return Receipt Postcard.
Remarks				
1. Transmittal Form (1 page); 2. Fee Transmittal (1 page); 3. Information Disclosure Statement (6 pages); 4. IDS (1449A) (3 pages); 5. Copies of Cited References; and 6. Return Receipt Postcard.				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 Attn.: Douglas J. Sorocco, P. O. Box 16370, Oklahoma City, Oklahoma 73113
Signature	
Date	3.1.04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EV 447410145 US in an envelope addressed to the address below on this date: March 1, 2004	
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Signature	
Date	3.1.04

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Alexandria, VA 22313-1450

APPROVED

for

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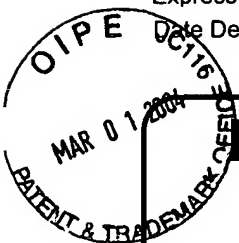
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Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0

## Complete if Known

Application Number	10/692.171
Filing Date	10/23/2003
First Named Inventor	Edgar A. O'Rear III, et al.
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	5820.643

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number: 04-1700

Deposit Account Name: Dunlap, Coddling & Rogers, P.C.  
Customer No. 30589

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =	X	\$0
Multiple Dependent	** =	X	\$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

## SUBMITTED BY

Name (Print/Type) Douglas J. Sorocco

Signature

Registration No. 43,145  
(Attorney/Agent)

(Complete if applicable)

Telephone (405) 607-8600

Date 03/01/2004

Commissioner for Patents  
PO Box 1450, Alexandria, VA 22313-1450



**EXPRESS MAIL NO.: EV 447410145 US**  
**DATE DEPOSITED: March 1, 2004**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Edgar A. O'Rear III, et al.	)	Atty. Dkt. No.: 5820.643
		)	
Serial No.:	10/692,171	)	
		)	
Filed:	10/23/2003	)	
		)	
For:	METHOD FOR MAKING AN ARTICLE	)	
	WATER RESISTANT AND ARTICLES	)	
	MADE THEREFROM	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This  
Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form Substitute for form 1449A/PTO
3. ☒ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications

- 6. ☒ Copies of Listed Information Items Accompanying this Statement
- 7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version
- 8. ☐ Translation(s) of Non-English Language Documents
- 9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
- 10. ☒ Identification of Person(s) Making this Information Disclosure Statement

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## **Section 2. Form Substitute for form 1449A/PTO**

- ☒ A completed Form Substitute for form 1449A/PTO is attached hereto.

### **Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449)**

The present invention relates in general to a method and variations thereof for making an article such as cloth water repellent and/or water resistant. In particular, the method involves the process of providing a thin-layer polymer coating on the article thereby rendering the article water repellent and/or water resistant. Articles made according to the method of the present invention are also disclosed and claimed herein.

### **Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ (date).

*(complete the following, if applicable)*

[ ] This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore, are not required to be provided in this application:

### **Section 5. Cumulative Patents or Publications**

#### **STATEMENT**

\_\_\_\_\_ is cumulative of the following patents or publications listed on Form PTO-1449:

In accordance with 37 C.F.R. § 1.98(c), a copy of only \_\_\_\_ is being submitted with this Information Disclosure Statement.

## **Section 6. Copies of Listed Information Items Accompanying this Statement**

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

## **Section 7. Concise Explanation of Non-English Language Listed Information Items**

### **Section 7A. Concise Explanation of Non-English Language Listed Information Items - EPO Search Report**

The relevance with respect to the following citations listed on Form PTO-1449:

is submitted on the basis of accompanying:

*(check the appropriate item)*

☐ EPO search report that is in the English language,

☐ EPO search report that is not in the English language and that is accompanied also by an English language version of the EPO search report,

that issued on the corresponding European patent application.

### **Section 7B. Concise Explanation of Non-English Language Listed Information Items - English Language Version of EPO Search Report**

## **Section 8. Translation(s) of Non-English Language Documents**

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

*(complete the following, if applicable)*

- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

## **Section 9. Concise Explanation of English Language Listed Information Items (OPTIONAL)**

## **Section 10. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☒ in the attorney's file



Respectfully submitted,

---

Douglas J. Sorocco, Reg. No. 43,145  
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Attorney for Applicants





Express Mail: EV 447410145 U  
Date Deposited: March 1, 2004

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known	
Application Number	10/692,171
Filing Date	10/23/2003
First Named Inventor	Edgar A. O'Rear, III, et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	5820.643

(use as many sheets as necessary)

### U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	U.S. PATENT NUMBER Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	3,971,753		Frechtling et al.	07/27/1976	
	B	4,501,793		Sarada	02/26/1985	
	C	4,582,663		Pickelman et al.	04/1986	
	D	4,608,401		Martin	08/26/1986	
	E	5,106,691		Harwell et al.	04/1992	
	F	5,623,015		Diehl et al.	04/1997	
	G	5,919,716		Raynolds et al.	07/1999	

### FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office 3	Number 4	Kind Code <sup>5</sup> (if known)				

**U.S. and Foreign:** <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

### NON PATENT DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

	AA	SOMASUNDARAN ET AL., "Mechanisms of Alkyl Sulfonate Adsorption at the Alumina-Water Interface", J. Phys. Chem., 70(1):90-96 (January, 1966).
	AB	BLUMSTEIN, "Polymerization in Preoriented Media", Adv. In Macromolecular Chem., Parsikas, ed. Pp. 123-148, Academic Press, N.Y. (1970).
	AC	MUKERJEE, "Analysis of Distribution Model for Micellar Solubilization Using Thermodynamics of Small Systems: Non-ideality of Solubilization of Benzoic Acid Derivatives in Nonionic Surfactants", J. Pharm. Sci., 60(10):1531-34 (October 1971).

EXAM INIT.		<p style="text-align: center;"><b>NON PATENT DOCUMENTS</b></p> <p style="text-align: center;">Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</p>
	AD	DICK ET AL., "Adsorption of Alkylbenzene Sulfonate (ABS) Surfactants at the Alumina Water Interface", J. Coll. Inter. Sci., 39(3):595-602 (November 1971).
	AE	CASES ET AL., "Adsorption of N-Alkylanine Chlorides on Heterogenous Surfaces", AIChE Symposium Series, Vol. 71, No. 150, pp. 100-109 (1975).
	AF	LETTS ET AL., "Polymerization of Oriented Monolayers of Vinyl Stearate", J. Coll. Interface Sci., 56(1):64-75 (July, 1976).
	AG	GOUJON ET AL., "On the Adsorption of N-Dodecyl-ammonium Chloride on the Surface of Synthetic Calcite", J. Coll. Interface Sci., 56(3):587-595 (September, 1976).
	AH	FUERSTENAU ET AL., "Effect of pH on the Adsorption of Sodium Sodecanesulphonate at the Alumina/Water Interface", J. Chem. Soc. 59:157-180 (1976).
	AI	ENKELMAN ET AL., "Polymerization of Ordered Tail-to-Tail Vinyl Stearate Bilayers", J. Polym. Sci., Polym. Chem. Ed., 15:1843-54 (1977).
	AJ	DAY ET AL., "Polymerization of Diacetylene Carbonic Acid Monolayers at the Gas-Water Interface", J. Polym. Sci.: Polym. Ltrs. Ed., 16:205-210 (1978).
	AK	TROGUS ET AL., "Adsorption of Mixed Surfactant Systems", J. Pet. Tech., Vol. XXXI, pp. 769-778 (June 1978).
	AL	MUKERJEE, "Solubilization in Aqueous Micellar systems", Solution Chem. Of Surfactants, Mittal, ed., 1:153, Plenum Press, New York, N.Y. (1979).
	AM	SAGIV, "Organized Monolayers by Adsorption. 1. Formation and Structure of Oleophobic mixed Monolayers on Solid Surfaces", J. Am. Chem. Soc., 102(1): 92-98 (1980).
	AN	BARRAUD ET AL., "Polymerized Monomolecular Layers: A New Class of Ultrathin Resins for Microlithography", Thin Solid Films, 68:91-98 (1980).
	AO	TIEKE ET AL., "Topochemical Reactions in Langmuir-Blodgett Multilayers", III Interface Syn., Carraler et al., eds., Marcel Dekker, N.Y. 1981, pp.365-378.
	AP	O'BRIEN ET AL., "The Photopolymerization of Lipid-Diacetylenes in Bimolecular-Layer Membranes", J. Polym. Sci.: Polym. Chem. Ed., 19:95-101 (1981).
	AQ	SCAMEHORN ET AL., "Adsorption of Surfactants on Mineral Oxide Surfaces from Aqueous Solutions", J. Coll. Interface Sci., 85(2): 463-478 (February, 1982).
	AR	NUNN ET AL., "Visual Evidence Regarding the Nature of Hemimicelles Through Surface Solubilization of Pinacyanol Chloride", J. Phys. Chem., 86:3271-72 (1982).
	AS	HARWELL, "Surfactant Adsorption and Chromatographic Movement with Application in Enhanced Oil Recovery", Ch. 2 - Doctoral Dissertation, pub. 1983 at University of Texas at Austin.
	AT	NETZER ET AL., "A New Approach to Construction of Artificial Monolayer Assemblies", J. Am. Chem. Soc., 105(3):674-676 (1983).
	AU	NETZER ET AL., "Adsorbed Monolayers v. Langmuir-Blodgett Monolayers - Why & How? I. From Monolayer to multilayer by Adsorption", Thin Solid Films, 99:235-41 (1983).
	AV	NETZER ET AL., "Adsorbed Monolayers v. Langmuir-Blodgett Monolayers - Why & How? II. Characterization of Built-up Films Constructed by Stepwise Adsorption of Individual Monolayers", Thins Solid Films, 100:67-76 (1983).
	AW	GAN ET AL., "Polymerization in the Transparent Water-in-Oil Solutions (I). Methyl Methacrylate and the Copolymerizable Cosurfactant", J. Dispersion Sci. & Tech., 4(3): 291-312 (1983).
	AX	GAN ET AL., "Polymerization of Styrene in Water-Alcohol-Ionic Surfactant Solutions", J. Macromol. Sci. Chem., A19(5):, pp. 739-756 (1983).
	AY	REGEN ET AL., "Polymer-Supported Membranes. A New Approach for Modifying Polymer Surfaces", Macromolecules, 16(2):335-338 (1983).
	AZ	O'BRIEN ET AL., "Polymerization of 16-Heptadecenoic Acid Monolayers", Thin Solid Films, 102:131-140 (1983).
	BA	OLMSTED ET AL., "Fluorescence of Polymerized Diacetylene Bilayer", J. Phys. Chem., 87:4790-4792 (1983).

EXAM INIT.	<h2 style="text-align: center;">NON PATENT DOCUMENTS</h2> <p style="text-align: center;">Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</p>	
BB		"ALMGREN ET AL., "Size of Sodium Dodecyl Sulfate Micelles in the Presence of Additives", J. Coll. Inter. Sci., 91(1): 256-266 (Jan. 1983).
BC		FENDLER, "Polymerized Surfactant Vesicles : Novel Membrane Memetic Systems", Science, 2223:887-894 (March, 1984).
BD		ALLARA ET AL., "Spontaneously Organized Assemblies", (2 Parts), Langmuir 2 (1):45-66 (1985).
BE		HARWELL ET AL., "Pseudophase Separation Model for Surfactant Adsorption Isomerically Pure Surfactants", Langmuir, 1 (2): 251-262 (1985).
<b>Non Patent Documents:</b> <sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.		
Examiner Signature:		Date Considered:
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant. <b>**Place of Publication refers to name of publication in which the information was published.</b>		